

Securities Settlement Instruction (SI) / Investor Settlement Instruction (ISI)
中央結算交收指示 / 投資者交收指示

致: 粵商國際證券有限公司

To: Yuet Sheung International Securities Limited - Settlement Department

日期 Date : _____

由: 帳戶名稱

From: Account Name : _____

帳戶號碼

Account Number : _____

Instructions 指示

1. 請於工作天香港時間12:00 前將已填妥的表格傳真至以下傳真號碼或電郵至以下電郵地址。在截數時間後或是在星期六、日及公眾假期遞交的客戶指示將在隨後的一個工作天辦理。
- Please fax or email this duly signed form to the fax no. or e-mail address below before 12:00 HK time on a business day. Requests made after the cut-off time or on Saturday, Sunday and public holidays will be processed on the next business day.

Fax: (852) 2832-9688 Email: settlement@ysgj.com.hk

交收方法 Settlement Method	<input type="checkbox"/>	股票交收指示 SI	<input type="checkbox"/>	投資者交收指示 ISI
指示類別 Instruction Type	<input type="checkbox"/>	將下列證券接收到本人/吾等上述戶口 Receive the following securities for my/our above account		
	<input type="checkbox"/>	由本人/吾等上述戶口交付下列證券 Deliver the following securities from my/our above account		
交收對手參與者編號 Counterparty Participant ID	交收對手名稱 Name of Counterparty		交收對手之聯絡人及電話 Counterparty Contact Person Tel. No	交收日期 Settlement Date
<input type="checkbox"/> 無需付款交收 Free of Payment	<input type="checkbox"/> 貨銀對付交收 Delivery Versus Payment (DVP)		如客戶沒有選擇左方指示, 將以“無需付款交收”執行是次指示。 **Free of Payment** will be the default payment instruction if neither choice in the left box is selected. 相關費用將于客戶之粵商國際證券股票戶口內扣除。 All charges in relation to the settlement instructions will be debited from the clients' securities account in Vantage Securities.	
<input type="checkbox"/> 即時貨銀對付 Real Time Delivery/ Receipt VS Payment	<input type="checkbox"/> 交收金額 Settlement Amount HKD _____			
股票號碼 Stock Code	股票名稱 Stock Name	股份數目 Number of Shares	僅供內部使用 For Office Use Only 參考編號 S.I. REF. 收費 Fee	
交收指示目的 Purpose of S.I.:	<input type="checkbox"/> 經紀與託管商間交易 C = Broker - Custodian	<input type="checkbox"/> 證券組合轉移 M = Portfolio Movement	收費總數: Total Fee :	
	<input type="checkbox"/> 投資者與仲介人士交易 I = Investor - Intermediary	<input type="checkbox"/> 其他 Others :		

客戶簽署 Signature of client

(請用留存本行之印鑒簽署 Please use the signature(s) filed with the company)

日期 Date

僅供內部使用 For Office Use Only

擬備: Prepared By:	簽署核對 Verified Signature By:	中央結算輸入: Inputted By (CCASS):	中央結算批核: Authorized By (CCASS):	系統輸入: Inputted By (BO System):